

1. Name of Listed Entity:Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2017
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity	No. of Partly paid-up equity	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying (X)	Shareholding, as a % assuming full conversion securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
			shares held (IV)	shares held (V)	Receipts (VI)	(VII) = (IV)+(V)+(VI)	(VIII)	No of Voting Rights			Warrants (X)	capital (XI) = (VII)+(X)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
			As a % of (A+B+C2)			Class eg: X	Class egy	Total	Total as a % of (A+B + C)									
A	Promoter & Promoter Group	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
B	Public	79815	120608348	0	0	120608348	100.00	120608348	0	120608348	100.00	0	0.00	0	0.00			120272748
C	Non Promoter- Non Public	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00		0
C1	Shares underlying DRs	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
C2	Shares held by Employee Trusts	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0	
	Total	79815	120608348	0	0	120608348	100.00	120608348	0	120608348	100.00	0	0.00	0	0.00			120272748

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming Full conversion Of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
1	Indian	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
a	Individuals/Hindu undivided Family	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
b	Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
c	Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
d	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Sub-Total (A)(1)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
2	Foreign	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
a	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
b	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
c	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
d	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
e	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
1	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
a	Mutual Funds/	50	10528605	0	0	10528605	8.73	10528605	0	10528605	8.73	0	0.00			10528605		
	SUNDARAM MUTUAL FUND A/C SUNDARAM SELECT MIDCAP	0	2948702	0	0	2948702	2.44	2948702	0	2948702	2.44	0	0.00			2948702		
	Kotak Equity Arbitrage Fund	0	1252000	0	0	1252000	1.04	1252000	0	1252000	1.04	0	0.00			1252000		
	UTI-MID CAP FUND	0	1506367	0	0	1506367	1.25	1506367	0	1506367	1.25	0	0.00			1506367		
b	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
c	Alternate Investment Funds	8	2787582	0	0	2787582	2.31	2787582	0	2787582	2.31	0	0.00			2787582		
	IIFL FOCUSED EQUITY STRATEGIES FUND	0	1812828	0	0	1812828	1.50	1812828	0	1812828	1.50	0	0.00			1812828		
d	Foreign Venture Capital	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		

	Investors																		
e	Foreign Portfolio Investors	15	11722193	0	0	11722193	9.72	11722193	0	11722193	9.72	0	9.72	0	0.00				11722193
	ARDISIA LIMITED	0	5970000	0	0	5970000	4.95	5970000	0	5970000	4.95	0	4.95	0	0.00				5970000
	MOUSSEGANESH LIMITED	0	2500000	0	0	2500000	2.07	2500000	0	2500000	2.07	0	2.07	0	0.00				2500000
	MORGAN STANLEY (FRANCE) S.A.	0	1244015	0	0	1244015	1.03	1244015	0	1244015	1.03	0	1.03	0	0.00				1244015
f	Financial Institutions/ Banks	5	140954	0	0	140954	0.12	140954	0	140954	0.12	0	0.12	0	0.00				140954
g	Insurance Companies	8	5409837	0	0	5409837	4.49	5409837	0	5409837	4.49	0	4.49	0	0.00				5409837
	SHRIRAM LIFE INSURANCE COMPANY LIMITED	0	2951524	0	0	2951524	2.45	2951524	0	2951524	2.45	0	2.45	0	0.00				2951524
h	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
i	Any Other (specify)	9	36979443	0	0	36979443	30.66	36979443	0	36979443	30.66	0	30.66	0	0.00				36979443
	Other	9	36979443	0	0	36979443	30.66	36979443	0	36979443	30.66	0	30.66	0	0.00				36979443
	ELEVAR EQUITY MAURITIUS	0	2013368	0	0	2013368	1.67	2013368	0	2013368	1.67	0	1.67	0	0.00				2013368
	ALENA PRIVATE LIMITED	0	10790943	0	0	10790943	8.95	10790943	0	10790943	8.95	0	8.95	0	0.00				10790943
	CDC GROUP PLC	0	2282646	0	0	2282646	1.89	2282646	0	2282646	1.89	0	1.89	0	0.00				2282646
	CX PARTNERS FUND I LIMITED	0	2604342	0	0	2604342	2.16	2604342	0	2604342	2.16	0	2.16	0	0.00				2604342
	NEWQUEST ASIA INVESTMENTS II LIMITED	0	7028022	0	0	7028022	5.83	7028022	0	7028022	5.83	0	5.83	0	0.00				7028022
	SEQUOIA CAPITAL INDIA INVESTMENTS III	0	4201276	0	0	4201276	3.48	4201276	0	4201276	3.48	0	3.48	0	0.00				4201276
	INTERNATIONAL FINANCE CORPORATION	0	7141684	0	0	7141684	5.92	7141684	0	7141684	5.92	0	5.92	0	0.00				7141684
	Sub-Total (B)(1)	95	67568614	0	0	67568614	56.03	67568614	0	67568614	56.03	0	56.03	0	0.00				67568614
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
	Sub-Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
3	Non-institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00
a	Individuals -	77914	36039743	0	0	36039743	29.88	36039743	0	36039743	29.88	0	29.88	0	0.00	0	0.00	0	35704143
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	77733	22738346	0	0	22738346	18.85	22738346	0	22738346	18.85	0	18.85	0	0.00				22712746
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	181	13301397	0	0	13301397	11.03	13301397	0	13301397	11.03	0	11.03	0	0.00				12991397
	Samit Ghosh	0	1852452	0	0	1852452	1.54	1852452	0	1852452	1.54	0	1.54	0	0.00				1852452
b	NBFCs registered with RBI	12	55233	0	0	55233	0.05	55233	0	55233	0.05	0	0.05	0	0.00				55233
c	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00				0
e	Any Other (specify)	1794	16944758	0	0	16944758	14.05	16944758	0	16944758	14.05	0	14.05	0	0.00				16944758
	Body Corporate	1211	19333010	0	0	19333010	16.03	19333010	0	19333010	16.03	0	16.03	0	0.00				19333010
	BAJAJ HOLDINGS AND INVESTMENT LTD	0	3125407	0	0	3125407	2.59	3125407	0	3125407	2.59	0	2.59	0	0.00				3125407
	DESAI BROTHERS LIMITED	0	1802000	0	0	1802000	1.49	1802000	0	1802000	1.49	0	1.49	0	0.00				1802000
	Non-Resident Indian (NRI)	305	1870802	0	0	1870802	1.55	1870802	0	1870802	1.55	0	1.55	0	0.00				1870802
	Other	2	29400	0	0	29400	0.02	29400	0	29400	0.02	0	0.02	0	0.00				29400
	Clearing member	272	543591	0	0	543591	0.45	543591	0	543591	0.45	0	0.45	0	0.00				543591
	Trust	6	95362	0	0	95362	0.08	95362	0	95362	0.08	0	0.08	0	0.00				95362
	Sub-Total (B)(3)	79720	53039734	0	0	53039734	43.98	53039734	0	53039734	43.98	0	43.98	0	0.00				52704134
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	79815	120608348	0	0	120608348	100.00	120608348	0	120608348	100.00	0	100.00	0	0.00				120272748

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00				0	
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %