

UJJIVAN/SE/2017-18/26

October 11, 2017

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Statement of Investor Complaints under Regulation 13 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find below the statement of Investor Complaints of the Company for the quarter ended September 30, 2017:

Sr.	Particulars	No. Of Complaints
1.	Investor Complaints pending at the beginning of the quarter	Nil
2.	Complaints received during the quarter	19
3.	Complaints disposed of during the quarter	19
4.	Complaints unresolved at the end of the quarter	Nil

We request you to please take the same on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited


Sanjeev Barnwal
Company Secretary and Compliance Officer



Ujjivan Financial Services Limited

Registered Office: Grape Garden, No. 27, 3rd 'A' Cross, 18th Main, 6th Block, Koramangala, Bengaluru 560 095
Tel +91 80 4071 2121, Fax +91 80 4146 8700, CIN: L65999KA2004PLC035329, E-mail ID: ujjivan.blr@ujjivan.com

www.ujjivan.com

October 06, 2017

To,

The Company Secretary
Ujjivan Financial Services Ltd
Grape Garden, No. 27,
3rd A Cross, 18th Main, 6th Block,
Koramangala, Bengaluru 560095
Karnataka, India

Dear Sir,

Sub: Investor Grievances Report

Please find below details with respect to the number of investors' grievances/complaints Received resolved and pending report from 01/07/2017 to 30/09/2017

Investor Complaints pending at the beginning of the quarter.	Nil
Complaints received during the quarter.	19
Complaints disposed of during the quarter.	19
Complaints unresolved at the end of quarter.	Nil

Break of Complaints:

4. Non Receipt of Dividend Warrant = 05
5. Non Receipt of Refund Order/Electronic Credit = 02
6. Non Receipt of Annual Report = 12

Thanking You

Yours faithfully
For Karvy Computershare Private Limited.


Mohd Mohsin Uddin
Senior Manager

Karvy Computershare Private Limited

Corporate office: "Karvy Selenium Tower B", Plot No. 31 & 32, Financial District, Nanakramguda, Gachibowli, Hyderabad- 500 032, Telangana, INDIA. T: +91-40-67162222, 33211000, F: +91-40-23420814, e-mail: support@karvy.com

Registered Office: Karvy House, 46, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034.
T: +91-40-2331-2454/2332-0751/752/251 | F: +91-40-2331-1968 | www.karvy.com | www.karvycomputershare.com | CIN: U72400TG2003PTC041636

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