

UJJIVAN/SE/2020-21/29

October 13, 2020

National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (East),
Mumbai 400 051
Kind Attention: Manager, Listing Department

BSE Limited
P.J. Tower,
Dalal Street
Mumbai 400 001
Kind Attention: Manager, Listing Compliance

Trading Symbol: UJJIVAN

SCRIP CODE: 539874

Dear Sir/Madam,

Subject: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended September 30, 2020

Pursuant to Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate for the half year ended September 30, 2020, duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For Ujjivan Financial Services Limited

**SANJEEV
BARNWAL**

Digitally signed by SANJEEV BARNWAL
DN: c=IN, o=Personal, title=2055,
pseudonym=433be4e65408e7ca306ab9eb3686
15d584ff5773bd2d5f999a302e70d6c343,
postalCode=560102, st=Karnataka,
serialNumber=165b67a063a15a392d58348ef840b
235070a7bd0b5c65a1f73ad0a1e44bd2e29,
cn=SANJEEV BARNWAL
Date: 2020.10.13 19:46:21 +05'30'

Sanjeev Barnwal
Company Secretary and Compliance Officer

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND LISTING REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

FOR THE PERIOD ENDED 30TH SEPTEMBER, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN FINANCIAL SERVICES LIMITED** maintained by **KFin Technologies Private Limited** (Formerly known as *Karvy Fintech Private Ltd*) pertaining to transfer of equity shares of the Company for the period from **1st April 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40 (9) the Listing Regulations.

I confirm that no valid request received from the concerned investors with regard to Split of Shares / Consolidation of shares, Transfer of shares / duplicate Share Certificate during the period **1st April 2020 to 30th September, 2020** except one transmission case of 605 shares which was processed on June 24, 2020.

Place: Bengaluru
Dated: 12.10.2020

KUNJITHAPADHA M JAYACHANDRAN
Digitally signed by
KUNJITHAPADHAM
JAYACHANDRAN
Date: 2020.10.12
15:13:41 +05'30'

K. JAYACHANDRAN
CP NO. 4031
UDIN: A011309B000917742