

1. Name of Listed Entity:Ujjivan Financial Services Limited
2. Scrip Code/Name of Scrip/Class of Security: UJJIVAN
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 30-Sep-2020
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated as per SCRR, 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underly convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered otherwise (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class seg: X	Class seg: y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
B	Public	104561	121614184	0	0	121614184	100	121614184	0	121614184	100	0	0				121558456
C	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0				0
C1	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0				0
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0				0
	Total	104561	121614184	0	0	121614184	100	121614184	0	121614184	100	0	0	0	0	0	121558456



**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the Shareholders (I)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholdin g, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
								Class X	Class Y	Total								
l	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Mutual Funds/	3	520096	0	0	520096	0.43	520096	0	520096	0.43	0	0	0	0	0	520096	
b	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Alternate Investment Funds	12	6236727	0	0	6236727	5.13	6236727	0	6236727	5.13	0	0	0	0	0	6236727	
	IIFL SELECT SERIES II	1	1587014	0	0	1587014	1.3	1587014	0	1587014	1.3	0	0	0	0	0	1587014	
	IIFL FOCUSED EQUITY STRATEGIES FUND	1	2036923	0	0	2036923	1.67	2036923	0	2036923	1.67	0	0	0	0	0	2036923	
	IIFL BLENDED FUND-SERIES A	1	1495673	0	0	1495673	1.23	1495673	0	1495673	1.23	0	0	0	0	0	1495673	
d	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Foreign Portfolio Investors	46	27846875	0	0	27846875	22.9	27846875	0	27846875	22.9	0	0	0	0	0	27846875	
	ABERDEEN STANDARD ASIA FOCUS PLC	1	2907000	0	0	2907000	2.39	2907000	0	2907000	2.39	0	0	0	0	0	2907000	
	GOVERNMENT OF SINGAPORE	1	2097275	0	0	2097275	1.72	2097275	0	2097275	1.72	0	0	0	0	0	2097275	
	ABERDEEN STANDARD SICAV I - ASIAN SMALLER COMPANIE	1	1445464	0	0	1445464	1.19	1445464	0	1445464	1.19	0	0	0	0	0	1445464	
	DURO ONE INVESTMENTS LIMITED	1	4626394	0	0	4626394	3.8	4626394	0	4626394	3.8	0	0	0	0	0	4626394	
	ARDISIA LIMITED	1	12065500	0	0	12065500	9.92	12065500	0	12065500	9.92	0	0	0	0	0	12065500	
f	Financial Institutions/ Banks	1	21	0	0	21	0	21	0	21	0	0	0	0	0	0	21	
g	Insurance Companies	1	180000	0	0	180000	0.15	180000	0	180000	0.15	0	0	0	0	0	180000	
h	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
i	Any Other (specify)	4	23084322	0	0	23084322	18.98	23084322	0	23084322	18.98	0	0	0	0	0	23084322	
	Other	4	23084322	0	0	23084322	18.97	23084322	0	23084322	18.97	0	0	0	0	0	23084322	
	NEWQUEST ASIA INVESTMENTS II LIMITED	1	6286535	0	0	6286535	5.17	6286535	0	6286535	5.17	0	0	0	0	0	6286535	
	ALENA PRIVATE LIMITED	1	10183156	0	0	10183156	8.37	10183156	0	10183156	8.37	0	0	0	0	0	10183156	
	INTERNATIONAL FINANCE CORPORATION	1	5356263	0	0	5356263	4.4	5356263	0	5356263	4.4	0	0	0	0	0	5356263	
	ELEVAR EQUITY MAURITIUS	1	1258368	0	0	1258368	1.03	1258368	0	1258368	1.03	0	0	0	0	0	1258368	
	Sub-Total (B)(1)	67	57868041	0	0	57868041	47.58	57868041	0	57868041	47.58	0	0	0	0	0	57868041	
2	Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals -	102410	48928080	0	0	48928080	40.23	48928080	0	48928080	40.23	0	0	0	0	0	48872352	
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	102218	37547831	0	0	37547831	30.87	37547831	0	37547831	30.87	0	0	0	0	0	37542103	
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	192	11380249	0	0	11380249	9.36	11380249	0	11380249	9.36	0	0	0	0	0	11330249	

b	NBFCs registered with RBI	3	1011	0	0	1011	0	1011	0	1011	0	0	0	0	0	0	0	1011
c	Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)	2081	14817052	0	0	14817052	12.18	14817052	0	14817052	12.18	0	12.18	0	0	0	0	14817052
	Bodies Corporate	682	11067167	0	0	11067167	9.1	11067167	0	11067167	9.1	0	9.1	0	0	0	0	11067167
	BAJAJ HOLDINGS AND INVESTMENT LTD	1	1353573	0	0	1353573	1.11	1353573	0	1353573	1.11	0	1.11	0	0	0	0	1353573
	DESAI BROTHERS LIMITED	1	1633741	0	0	1633741	1.34	1633741	0	1633741	1.34	0	1.34	0	0	0	0	1633741
	BIZ SECURE LABS PRIVATE LIMITED	1	1455835	0	0	1455835	1.2	1455835	0	1455835	1.2	0	1.2	0	0	0	0	1455835
	Clearing Members	121	228228	0	0	228228	0.19	228228	0	228228	0.19	0	0.19	0	0	0	0	228228
	Director or Director's Relatives	3	77076	0	0	77076	0.06	77076	0	77076	0.06	0	0.06	0	0	0	0	77076
	Foreign Nationals	1	1000	0	0	1000	0	1000	0	1000	0	0	0	0	0	0	0	1000
	Non-Resident Indian (NRI)	1265	3359045	0	0	3359045	2.76	3359045	0	3359045	2.76	0	2.76	0	0	0	0	3359045
	Others	1	74464	0	0	74464	0.06	74464	0	74464	0.06	0	0.06	0	0	0	0	74464
	Trusts	8	10072	0	0	10072	0.01	10072	0	10072	0.01	0	0.01	0	0	0	0	10072
	Sub-Total (B)(3)	104494	63746143	0	0	63746143	52.42	63746143	0	63746143	52.42	0	52.42	0	0	0	0	63690415
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	104561	121614184	0	0	121614184	100	121614184	0	121614184	100	0	100	0	0	0	0	121558456

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of older shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
								No of Voting Rights Class X	No of Voting Rights Class Y	Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %