

**UJJIVAN/SE/2019-20/50**

**January 11, 2020**

**National Stock Exchange of India Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (East),  
Mumbai 400 051  
Kind Attention: Manager, Listing Department

**BSE Limited**  
P.J. Tower,  
Dalal Street  
Mumbai 400 001  
Kind Attention: Manager, Listing Compliance

**Trading Symbol: UJJIVAN**

**SCRIP CODE: 539874**

**Dear Sir/Madam,**

**Subject: Reconciliation of the Share Capital Audit Report for the Quarter ended December 31, 2019**

Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018, please find enclosed the Share Capital Audit Report for the quarter ended December 31, 2019 duly signed by Mr. K. Jayachandran, Practicing Company Secretary.

We request you to please take the above on record.

Thanking You,

Yours faithfully,

For **Ujjivan Financial Services Limited**

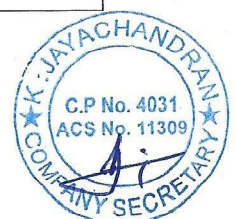
  
**Sanjeev Barnwal**  
Company Secretary and Compliance Officer





**RECONCILIATION OF SHARE CAPITAL AUDIT**

Sl. No.	Description	Particulars	
1.	For the Quarter Ended	December 31, 2019	
2.	ISIN Code	INE334L01012	
3.	Face Value	Rs.10/- each	
4.	Name of the Company	UJJIVAN FINANCIAL SERVICES LIMITED	
5.	Registered Office	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
6.	Correspondence Address	Grape Garden, No. 27, 3rd A Cross, 18th Main, 6th Block, Koramangala, Bangalore – 560095	
7.	Telephone & Fax Nos.	Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700	
8.	Email	compliance@ujjivanfin.com	
9.	Name of the Stock Exchanges where the company's securities are listed	BSE Limited (BSE) National Stock Exchange of India Limited (NSE)	
		Number of Shares	% of Total issued Capital
10.	Issued Capital	12,15,67,500	100.00
11.	Listed Capital (Exchange wise) (as per company records)	12,15,67,500	100.00
12.	Held in dematerialized form in CDSL	3,54,15,067	29.13
13.	Held in dematerialized form in NSDL	8,60,87,814	70.81
14.	Physical	64,619	0.06
15.	Total No. of Shares (12+13+14)	12,15,67,500	100.00
16.	Reasons for difference if any, between (10 & 11), (10 & 15), (11 & 15)	Not Applicable	





17. Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars ***	No. of Shares	Applied/Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In principle Approval Pending for SE (Specify Names)
ESOP allotment on October 28, 2019	29,794	Applied and listed	BSE and NSE	Yes	Yes	NA
ESOP allotment on December 06, 2019	67,075	Applied and listed	BSE and NSE	Yes	Yes	NA

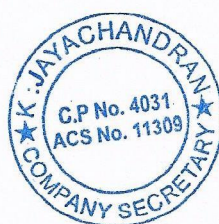
\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify):


18.	Register of Members is updated (Yes/No) If not, updated up to which date	Yes
19.	Reference to previous Quarter with regard to excess Dematerialized Shares, if any	Nil
20.	Has the Company resolved the matter mentioned in Sl. No. 19 above in the current quarter, if not, reasons why?	Not Applicable
21.	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay.	
<b>Total No. of Demat Requests</b>		<b>No. of Requests</b>
Confirmed after 21 Days		Nil
Pending for more than 21 Days		Nil
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	Mr. Sanjeev Barnwal Company Secretary and Compliance Officer Telephone: 91 80 4071 2121 Fax: 91 80 4146 8700
23.	Name, Address, Tel & Fax No. Registration No. of the Auditor	K. JAYACHANDRAN Company Secretary CP No. 4031 No. 1181, 6 <sup>th</sup> Main, 'A' Block (Next to Café Coffee Day) Rajajinagar, Bangalore – 560010 Tel: 91 80 2347 8383; Email: jayck_1960@yahoo.com



24.	Appointment of common agency for share registry work, If yes, (Name & Address)	KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Ltd) Karvy Selenium Tower B, Plot Nos. 31 & 32 Financial District, Nanakramguda, Gachibowli, Hyderabad - 500032
25.	Any other detail that the auditor may like to provide (e.g. BIFR company, delisting from SE, company changed its name etc.	NIL

Place: Bengaluru  
Dated: 08.01.2020



  
K. JAYACHANDRAN  
C.P NO. 4031